



Tetanus toxoid and synthetic malaria antigen containing poly(lactide)/poly(lactide-co-glycolide) microspheres: importance of polymer degradation and antigen release for immune response

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Abstract

The importance of *in vitro* degradation of poly(lactide)/poly(lactide-co-glycolide) (PLA/PLGA) microspheres and of the concomitant *in vitro* release of a natural and a synthetic antigen for eliciting immune response was studied in mice. A variety of PLAs and PLGAs differing in molecular weight (M_w of 14–130 kDa) and in polymer composition (lactic/glycolic acid ratio of 100:00, 75:25, and 50:50) were examined for their *in vitro* degradation, which ranged from approximately 4 to 20 weeks. Three specific polymers were then selected for microencapsulation of the two antigens tetanus toxoid (TT) and a weakly immunogenic synthetic branched malaria peptide (P30B2). The *in vitro* release data showed that antigen delivery correlates fairly well with polymer degradation giving rise to a distinct burst release during the first 24 h and an additional release pulse towards the end of polymer degradation. After single subcutaneous administration in mice, long lasting high antibody titers were obtained with the antigen containing microspheres, as compared to TT adsorbed on alum or to P30B2 in Incomplete Freund's Adjuvant. Moreover, the immune responses induced by microspheres were clearly influenced by the antigen release kinetics, the polymer type and the size of the microspheres. The results demonstrate the immunopotentiating properties of the biodegradable microspheres and their potential to elicit long-lasting immune responses after single administration when tailoring *in vitro* release characteristics and particle size.

Keywords: Poly(lactide) (PLA); Poly(lactide-co-glycolide) (PLGA); Biodegradable microspheres; Polymer degradation; Tetanus toxoid; Synthetic malaria antigen; Antigen release; Immune responses

1. Introduction

The search for new immunological adjuvants and antigen delivery systems has attracted the interest of various national and international health institutes and organizations, as well as of academic and industrial research scientists. Three major objectives

of practical importance have been defined to improve existing vaccines. Clearly, formulations or delivery systems should (i) mimic booster doses after single parenteral administration, (ii) exhibit adequate immunostimulating properties for weakly immunogenic (synthetic) antigens, and (iii) elicit a strong immune response after nasal or oral administration. In this light, biodegradable microspheres (MS) based on poly(lactide) (PLA) or poly(lactide-co-glycolide) (PLGA) are probably the most promising of all the

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antigen delivery systems studied so far. In addition to the immunostimulating properties of most other adjuvant formulations or delivery systems, biodegradable MS also provide prolonged and even pulsatile antigen release over several months, thereby mimicking conventional immunization schedules [1-4]. It is the particular goal of replacing the necessary booster injections of conventional vaccines by a single injection of antigen containing microspheres which has been addressed by the WHO in an ongoing program initiated in 1989 [5,6]. In addition, the demonstrated targeting of biodegradable microspheres to the Peyer's patches of the GI-tract or to the nasal mucosa holds great promise for peroral and nasal immunization [7-10].

The basis for the present interest in PLA/PLGA-based biodegradable microspheres for vaccine delivery was laid undoubtedly in the late 1970s to mid-1980s, particularly by pioneering investigations on (i) single-step immunization by sustained antigen release [11,12], (ii) peptide drugs being released from PLGA-microspheres in a pulsatile manner [13], and on (iii) the biodegradation of and tissue reaction to PLA/PLGA-microspheres [14,15].

Various studies have been conducted to clarify the in vitro and in vivo degradation mechanisms of PLA/PLGA polymers as it became evident that drug release kinetics and pharmacological responses greatly depend on the polymer characteristics and biodegradation behaviour [16-22]. These investigations revealed that in particular the release of macromolecules is closely related to polymer degradation and, hence, to copolymer composition and molecular weight. Moreover, it has been found that the degradation pattern of polymers obtained from different suppliers is also affected by polymer quality, i.e. by the presence of low molecular weight fractions and monomers [23]. Special attention has been paid further to the role of water involved in polymer degradation and its influence on glass transition temperature, T_g [24,25]. On the other side, relatively little knowledge is available at present on the interaction between polymer and protein under release conditions. It has been reported that osmotic effects and ionic interactions between polymer end groups and the amide bond or free amino groups of polypeptides greatly affect the release pattern of proteinaceous compounds from microspheres [26-

28]. It has also been recognized for some time, and reemphasized more recently, that during polymer degradation, the hydrolysis products are likely to create an acidic environment inside the microspheres and within their microenvironment, which may severely compromise protein stability in vitro release studies and also in an in vivo situation [16,28,29]. However, general conclusions about the importance of an acidic microenvironment on protein stability cannot be drawn as every protein forms its own case.

Over the past few years, the particular aspect of antigen delivery from biodegradable MS and its relevance for the immune response has been investigated by several groups. Most of these studies have focused on the natural antigens tetanus toxoid (TT) [1,2,10,30] and diphtheria toxoid (DT) [31], and only very few have considered synthetic antigens [3]. Generally, some correlations have been found between the kinetics of polymer degradation and of antigen release, but not between in vitro release kinetics and immune response. All of these studies confirm the very pronounced immunostimulating properties of microspheres.

Here, we report on the correlation between polymer degradation and antigen release from microspheres, and on the importance of their release kinetics and particle size for immune response. Investigations on two different types of antigens are being summarized, i.e. conventional tetanus toxoid and a synthetic antimalarial antigen.

2. Experimental

2.1. Materials

Lyophilized tetanus toxoid (TT) (approximately 90 Lf/mg protein, Institut Merieux, Lyon, France) was provided by WHO (Geneva, Switzerland). A tetra-branched synthetic peptide composed of an universal T helper epitope from tetanus toxin (947-967) and a B-cell epitope from the repetitive region of *Plasmodium berghiei* circumsporozoite protein (P30B2) was synthesized by using the F-moc strategy [32]. The molecular weights of the two antigens are approximately 150 000 for TT and 16 000 for P30B2. Various poly(D,L-lactides) (PLA)

and poly(D,L-lactide-co-glycolides) (PLGA) differing in molecular weight and composition were purchased from Boehringer Ingelheim, Ingelheim, Germany; they included the PLAs Resomer® R202 (M_w 14 600), R203 (M_w 23 300) and R206 (M_w 129 700), the PLGAs 50:50 Resomer® RG502 (M_w 13 700) and RG503 (M_w 35 100), and the PLGAs 75:25 Resomer® RG752 (M_w 17 000) and RG755 (M_w 68 600). Hereafter, the following designations will be used to specify the type of polymer: PLA-14 kDa, PLA-23 kDa, PLA-130 kDa, PLGA50:50-14 kDa, PLGA50:50-35 kDa, PLGA75:25-17 kDa and PLGA75:25-69 kDa. All other chemicals used were of analytical grade, (from Fluka, Buchs, Switzerland), unless otherwise specified.

2.2. Preparation of microspheres

Empty and antigen loaded microspheres (MS) were prepared by the two microencapsulation techniques, spray-drying (SD) and coacervation (CO), as described in detail elsewhere [33,34]. Briefly, in the spray-drying process, 100 mg of TT or 20 mg of P30B2 were dissolved in 2.0 ml water. The resulting antigen solutions were finely dispersed in 100.0 g (for TT) or 40.0 g (for P30B2) of a 5% (w/w) polymer solution in ethyl formate by means of an ultrasonic processor. The W/O-dispersions were subsequently spray-dried in a laboratory spray-dryer (Model 190, Büchi, Flawil, Switzerland). The microspheres were washed with 0.1% (w/w) Syneronic® F68 solution (ICI, Middlesbrough, UK), collected on a 0.2 μ m cellulose acetate membrane filter and dried under vacuum for 24 h.

In the coacervation process, the polymers were dissolved in dichloromethane (DCM) at a concentration of 10% (w/w), wherein the antigen solutions were dispersed, as described above. The dispersion was introduced into a jacketed vessel (250 ml) equipped with baffles and an anchor stirrer. Coacervation was induced by introducing a predetermined necessary amount of silicone oil (DC-200, 1070 mPa/s); with respect to the total mass of the coacervation mixture, the percentage of added silicone oil was 73% for PLA-14 kDa, 70% for PLA-23 kDa, 55% for PLA-130 kDa, 68% for PLGA75:25-17 kDa, 53% for PLGA75:25-69 kDa,

58% for PLGA50:50-14 kDa and 47% for PLGA50:50-35 kDa. Stirring was set at 1000 rev./min and the temperature maintained at 10°C. The stable coacervation dispersion was slowly poured into 1200 ml of hardening agent (octamethylcyclotetrasiloxane, OMCTS) to solidify the microspheres. Stirring was continued for 30 min, and the microspheres collected on a sintered glass filter and washed with 100 ml hexane. The product was then air-dried for 5 min and resuspended three times in 0.1% (w/w) Syneronic® F68 solution. Drying took place under the conditions specified above.

2.3. Polymer molecular weight and *in vitro* polymer degradation

The polymers used were characterized by gel permeation chromatography (GPC) for molecular weights, M_w and M_n , and polydispersity, P . The polymers were dissolved at a concentration of 0.2% (w/w) in tetrahydrofuran (THF) (purity > 99.5%, stabilized with butylhydroxytoluene, residual water < 0.01%; Scharlau, ETG-Chemie, Tägerig, Switzerland). Toluene was used as internal standard at a concentration of 0.02% (w/w). A 100- μ l aliquot of the polymer solution was injected into a 20 μ l loop (Model 7125, Rheodyne, Berkley, USA) of a HPLC-system (Pump L 6000, Merck Hitachi, Merck ABS, Dietikon, Switzerland) and separated on a mixed gel column with a molecular weight separation range of 0.2 to 2000 (PL-gel 5 μ m, 300 \times 7.5 mm², Polymer Laboratories, Shropshire, UK). Column calibration was performed with a monodisperse polystyrene kit covering a molecular weight range of 1.32 to 1030 (PL polystyrene-medium molecular weight kit, Polymer Laboratories). THF was used as eluent at a flow rate of 1 ml/min, and the column temperature maintained at 30°C (Column oven, Merck Hitachi). Elution profiles were detected refractometrically (RI-detector, ERC-7512, Erma, Tokyo, Japan) at 30°C and a sensitivity of 4. The data were analyzed by a GPC integrator (D-2520, Merck Hitachi).

The *in vitro* degradation behavior of unloaded microspheres, prepared by coacervation, was characterized by suspending 50 mg of microspheres in borosilicate vials (Chromacol®, Welwyn Garden City, UK) containing 4.0 ml of PBS pH 7.4, pre-

served with 0.02% (w/w) sodium azide. The dispersions were ultrasonicated to facilitate wetting, and the vials fixed horizontally in a drum rotating at 3 rev./min and 37°C (Drum Roller, TC 1000/3, Brouwer, Lucerne, Switzerland). At defined intervals, the samples were analyzed in triplicate. The content of each vial was centrifuged, the supernatant withdrawn, and its pH measured. The medium of the remaining samples was replaced by fresh buffer to readjust the pH. The isolated microspheres or polymeric mass was dried under vacuum for 2 h and dissolved in THF (0.2%, w/w). The filtered solutions were finally analyzed by GPC.

2.4. Morphology and size of microspheres

For morphological examination, the microspheres were mounted on double-faced adhesive tape, sputtered with platinum and viewed in a Hitachi S-700 scanning electron microscope.

Size and size distribution of microspheres were measured by laser light scattering (Mastersizer X, Malvern, Malvern, UK). Typically, 50–100 mg of microspheres were carefully pounded in a mortar with a few drops of polysorbate 20, followed by the addition of 3 ml water. The particle dispersion was transferred into a small volume presentation unit (Malvern) and ultrasonicated for 30 s at output 40 (Vibra cell, VCS0T, Sonics and Materials, Danbury, USA). Calculation of particle sizes from the scattered light was based on Mie's theory accounting for the optical properties of the polymers. The refractive index of PLA and PLGA was calculated from group contributions [35].

2.5. Antigen loading in the microspheres

The antigen content was determined with 20–30 mg of antigen loaded microspheres dissolved in 2 ml dichloromethane; the dispersion was vacuum-filtered on a 0.2 µm regenerated cellulose RC58 membrane filter (Schleicher and Schuell, Dassel, Germany). Filters were washed three times with the same solvent and air dried. The antigens were eluted three times with 3 ml PBS, pH 7.4. The solution was analyzed by Bradford's protein assay (for TT) (Bio-Rad, Munich Germany) or fluorometrically (for P30B2) (Fluoromax®, Spex Industries, Edison, NJ,

USA), with excitation and emission wavelengths of 278 nm and 340 nm, respectively.

2.6. In vitro antigen release

Antigen release was determined by suspending 20–100 mg of microspheres in borosilicate vials with screw caps (Chromacol®, Welwyn Garden City, UK) containing 4.0 ml of PBS pH 7.4, preserved with 0.02% sodium azide. The dispersions were ultrasonicated to facilitate wetting, and the vials fixed horizontally in a drum rotating at 3 rev./min at 37°C. At regular intervals, the supernatant from each vial was collected by centrifugation and assayed immediately by Bradford's assay (for TT) or fluorometrically, as specified above. At every time point, 3 ml of the release medium were replaced by fresh medium and the pH readjusted to 7.4.

2.7. Immunogenicity of microencapsulated antigens in mice

Antibody titers of microencapsulated antigens as compared to TT adsorbates on aluminum hydroxide (Alum) or P30B2 in Incomplete Freund's Adjuvant (IFA) were evaluated as described elsewhere [36]. In short, groups of eight BALB/c female mice 8–10 weeks of age (OLAC, Bicester Oxon, UK) received a total dose of either 20 µg (1.7 LF) of TT or 30 µg of P30B2 in various formulations by subcutaneous injection at the base of the tail. For immunization with tetanus toxoid, five groups of mice received a single injection of TT-MS preparations (SD502, SD752, CO502, CO752 and CO206), and another group received a single injection of TT-alum (Alum-1). Correspondingly, for the immunization with P30B2, five groups of mice received a single injection of P30B2-MS (SD502, SD752, CO502, CO752 and CO206), and another group a single injection of P30B2-IFA (IFA-1). For injection, the microspheres were suspended in 100 µl of 5% (w/w) sterile lecithin solution (Ovothin® 170, Lucas Meyer, Hamburg, Germany). Lecithin was used for dispersing microspheres because of its excellent wetting and suspending properties and for its high biocompatibility and biodegradability. No effect on antibody production was observed when mice were injected with lecithin alone. After immunization,

mouse sera were collected at an interval of 2-4 weeks by tail bleeding. Specific serum antibodies were measured by ELISA, as described elsewhere [36]. The antibody titer was expressed as the reciprocal of the highest positive serum dilution. Student's *t*-test was used on logarithm-transformed data to compare antibody levels obtained from each group at various time points, according to accepted convention [44].

3. Results

Most important characteristics of a vaccine delivery system based on biodegradable polymers encompass the polymer degradation time, the microspheres' size, the antigen release kinetics and the immunological responses. All of these four parameters were investigated in this study and reported below.

3.1. *In vitro* polymer degradation

For selection of appropriate polymers for antigen delivery, microspheres were prepared from a series of homo- and copolymers of lactic and glycolic acid, varying in composition and molecular weight, and were subjected to an *in vitro* degradation study. The microspheres were prepared by conservation and showed a monomodal size distribution in the range of 10 to 100 μm .

First, the effect of polymer composition was examined with three low molecular weight polymers (Fig. 1). Each point represents the mean of three individual samples of microspheres. The degradation profiles appear biphasic with an initial lag time, where only minor changes in molecular weight are measured, and a terminal phase characterized by an accelerated polymer degradation. The three polymer type microspheres, i.e. PLGA50:50, PLGA75:25 and PLA, differ greatly in both the lag time and in the rate of terminal degradation. In the terminal phase an exponential decrease in molecular weight, as indicated by the linear alignment of the last three or four data points of each profile in the semilogarithmic plot is clearly shown. PLGA50:50 undergoes the fastest hydrolysis with a decrease in weight average molecular weight below 1000 within 35 days. In-

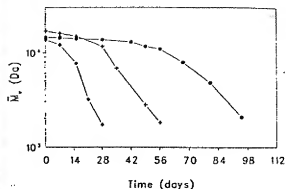


Fig. 1. Time-dependent M_w change of microspheres prepared with different types of polymers showing the effect of polymer composition: PLGA50:50-14 kDa (\diamond), PLGA75:25-17 kDa (+), PLA-14 kDa (\bullet).

cidentally, as oligomers with a molecular weight below 1000 are water soluble, a M_w -value of about 1000 or less is considered here as 'complete' polymer degradation. Furthermore, polymer degradation was characterized by weight average M_w rather than by the number average molecular weight. M_w was preferred because tailing in the polymer peak due to low molecular weight fragments renders setting of proper integration marks difficult and, hence, would affect greatly the calculation of number average molecular weight [37]. PLGA75:25 microspheres showed a lag time of 28 days, with only a 30% decrease in M_w , followed by the exponential phase between days 28 and 56. After 8 weeks, M_w was below 10% of the initial M_w . Finally, PLA microspheres required more than 3 months for complete erosion. This degradation profile was characterized by a lag time of 2 months, followed by accelerated polymer degradation up to day 100. Therefore, with increasing hydrophobicity of the polymers, the initial lag times became longer and the terminal exponential degradation rate lower.

In addition to polymer composition, the initial M_w of the polymeric microspheres influenced substantially the total degradation time, although the overall degradation pattern followed a similar type of kinetics for the low and the high molecular weight particles, e.g. PLGA50:50-14 kDa versus PLGA50:50-35 kDa, PLGA75:25-17 kDa versus PLGA75:25-69 kDa, and PLA-14 kDa versus PLA-130 kDa (Fig. 2). As expected, PLA-130 kDa

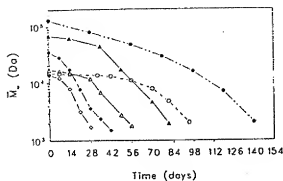


Fig. 2. Time-dependent \bar{M}_w change of microspheres prepared with different types of polymers showing the effect of the initial molecular weight for various polymer compositions: PLGA50:50-14 kDa (\diamond), PLGA50:50-35 kDa (\circ), PLGA75:25-17 kDa (Δ), PLGA75:25-69 kDa (\bullet), PLA-14 kDa (\square), PLA-130 kDa (\blacksquare).

microspheres showed a very slow change in \bar{M}_w . Complete polymer erosion to water soluble oligomers was not finished up to day 140. Compared to the low molecular weight PLA-14 kDa, however, PLA-130 kDa hydrolysis was more pronounced during the initial part of the profile where no typical lag time could be detected. As a result of the sustained degradation, the polymeric microspheres studied were considered particularly useful for controlled antigen delivery over a period of several months. It was interesting to see that within the range of polymers studied an approx. 2-fold increase in \bar{M}_w prolonged the time of degradation by 2 weeks (PLGA50:50-14 kDa versus PLGA50:50-35 kDa), at a 4-fold increase in \bar{M}_w a prolongation of approx. 4 weeks (PLGA75:25-17 kDa versus PLGA75:25-69 kDa), and at a 9-fold increase in \bar{M}_w a prolongation of degradation by approx. 6 weeks (PLA-14 kDa versus PLA-130 kDa) could be observed.

3.2. Morphology and size of the microspheres

It is reasonable to assume that surface morphology and, even more, microsphere size may play a crucial role for antigen delivery and presentation. Therefore, two different classes of microsphere sizes, one in the range of approximately 1-10 μm and the other of 10-100 μm , were prepared by the two preparation methods of spray-drying and coacervation, respectively (Fig. 3). Spray-drying produced smaller

microspheres with the majority of particles below 5 μm , and coacervation yielded coarser microspheres, with the size distribution being a function of polymer \bar{M}_w and of process parameters [34]. In general, the low \bar{M}_w polymers gave a microsphere size in the range of 8 to 60 μm , whereas those of high \bar{M}_w (PLGA75:25-69 kDa and PLA-130 kDa) ranged from 10 to 90 μm (Fig. 3). It is noteworthy that particle size distributions determined by laser light scattering and from SEM micrographs were in good agreement. Spray-dried microspheres exhibited a smooth and non-porous surface. In the case of coacervated microspheres, a similarly smooth surface morphology was detected when the antigen was microencapsulated as particulate material. On the opposite, when the antigen was entrapped as aqueous solution, a more porous surface was revealed on the SEM-micrographs.

Clearly, the use of the two different preparation techniques allowed microspheres with a wide range of polymer molecular weights and two distinct classes of particle sizes to be obtained. Both features are considered of prime importance for parenteral vaccine delivery systems.

3.3. Antigen microencapsulation and in vitro release

On the basis of the results obtained from polymer degradation and particle size distribution, the two antigens P30B2 and TT were microencapsulated using low molecular weight PLGA50:50-14 kDa and PLGA75:25-17 kDa, and a high molecular weight PLA-130 kDa. Both spray-drying (SD) and coacervation (CO) were applied. The various preparations are summarized in Table 1. For purely practical reasons, antigen powders rather than solutions were used in coacervation of PLGA75:25-17 kDa and PLA-130 kDa. It appears though, that the particulate antigens were less efficiently microencapsulated than the corresponding aqueous solutions. This difference is more significant with the synthetic antigen (27% for CO-PLGA75:25-17 kDa versus 57% for CO-PLGA50:50-14 kDa). It also appears that the efficiency to encapsulate the synthetic antigen is lower than for the natural high molecular weight TT.

Comparing the two encapsulation techniques, no

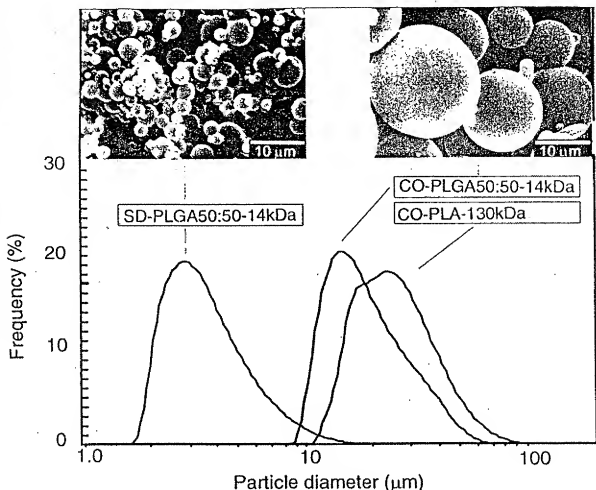


Fig. 3. SEM micrographs and particle size number distribution plots of typical microspheres prepared by spray-drying (SD-PLGA50:50-14 kDa) and by coacervation (CO-PLGA50:50-14 kDa, and CO-PLA-130 kDa).

significant difference in entrapment efficiency is observed if the antigens are processed in solution. Given the different preparation techniques, i.e. SD and CO, with the antigen for microencapsulation being in particulate form and in solution, an affect of the polymer composition on loading efficiency cannot be revealed unambiguously. Finally, with a few exceptions only, the loading efficiencies attained were satisfactory, and the actual loadings allowed to inject between 5 and 15 mg of P30B2-microspheres and between 1 and 4 mg of TT-microspheres per animal in the immunization studies.

Antigen release kinetics is considered one of the key parameters for vaccine delivery systems. Pul-

satile release was actually achieved in the release of the 16 kDa P30B2 from the PLGA50:50-14 kDa microspheres (Fig. 4). After an initial burst release, which occurred during the first 1-2 days, a latency period of about 2 weeks was observed with very little antigen release. Release was completed after 7 weeks. The two release profiles obtained from the PLGA50:50-14 kDa microspheres prepared by spray-drying and by coacervation were very similar. For the coacervated microspheres, the onset of the second release pulse was slightly shifted to the right. Clearly, the term release pulse is understood in this work in a broad sense. The coacervated microspheres also gave rise to a substantially higher burst (20% of

Table 1
Antigen loading of microspheres

Antigen ^a	Polymer ^a	Microencapsulation technique ^a	Physical state of antigen for microencapsulation ^b	Loading ($\mu\text{g}/\text{mg}$ microspheres ^c)	Loading efficiency (%) ^c
P30B2	PLGA50:50	SD	Solution	3.51	54
	PLGA50:50	CO	Solution	6.00	57
	PLGA75:25	SD	Solution	6.59	60
	PLGA75:25	CO	Powder	2.06	27
	PLA	CO	Powder	2.64	26
TT	PLGA50:50	SD	Solution	18.20	90
	PLGA50:50	CO	Solution	8.50	85
	PLGA75:25	SD	Solution	21.50	100
	PLGA75:25	CO	Powder	7.00	70
	PLA	CO	Powder	5.80	58

^aAbbreviations used are described in the experimental section; M_n of PLGA50:50 is 14 kDa, M_n of PLGA75:25 is 17 kDa and M_n of PLA is 130 kDa.

^bThe antigens were microencapsulated either as a 1-2% (w/v) aqueous solution or as a milled powder with particle size < 20 μm .

^cThe loading efficiency is defined as the percentage of the actual loading with respect to the theoretical loading.

the actual dose), than the spray-dried microspheres (10% of the actual dose). This difference may be explained by the slightly porous morphology of this particular MS-preparation (see under Section 3.2). It is also noteworthy that the total amount of P30B2 released from the coacervated PLGA50:50-14 kDa microspheres exceeded the measured loading by about 20%. This indicates that the recovery by the filtration method used to extract this antigen was maximal 80% with this particular preparation. The higher burst release and the underestimated loading

of the coacervated PLGA50:50-14 kDa microspheres must have both contributed to the fact that this preparation exhibits an area under the second release pulse similar to the spray-dried PLGA50:50-14 kDa, although the actual loading of the coacervated microspheres exceeded that of the spray-dried product by about 40%.

While the microencapsulation method essentially affected the extent of the burst release, the polymer composition greatly determined the overall release kinetics, particularly the onset and duration of the second release pulse (Fig. 5). As mentioned before,

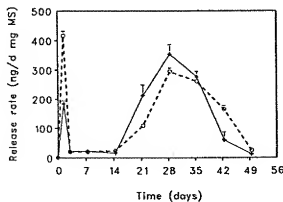


Fig. 4. In vitro release rates (in ng antigen per day and per mg microspheres) of P30 B2 from spray-dried (+) and coacervated (○) PLGA50:50-14 kDa microspheres. Error bars indicate standard deviations with $n = 3$.

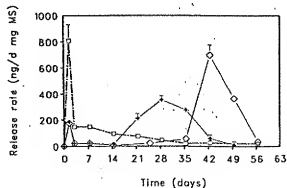


Fig. 5. In vitro release rates (in ng antigen per day and per mg microspheres) of P30B2 from spray-dried PLGA50:50-14 kDa (+) and PLGA75:25-17 kDa (◇), and from coacervated PLA-130 kDa (□) microspheres. Error bars indicate standard deviations with $n = 3$.

the onset and maximum of the second pulse for the PLGA50:50-14 kDa appear around day 14 and day 28, respectively, while for PLGA75:25-17 kDa, these two time points are shifted to day 35 and day 43. In contrast, for the slowly degrading PLA-130 kDa, no additional release pulse was detected within the time period studied. For both the PLGA50:50-14 kDa and PLGA75:25-17 kDa microspheres, the onset of the second pulse corresponded to a \bar{M}_w of about 7000 to 8000 Da during the *in vitro* polymer degradation, while the maximum release rate was measured at a time point corresponding to a \bar{M}_w of about 2000 to 4000 Da. From the polymer degradation profiles, the second release pulse from the PLA-130 kDa microspheres was expected after about 4 months, though the experiment was stopped before that time point.

Similarly to P30B2, TT release was not primarily affected by the preparation method and, hence, by the size of the microspheres, but by the type of polymer (Fig. 6). While the onset and duration of the second TT pulse from PLGA50:50-14 kDa microspheres was virtually identical to that observed for P30B2 with this same polymer, this similarity was not found for the PLGA75:25-17 kDa microspheres. For TT, the second release pulse from PLGA75:25-17 kDa microspheres started on day 56 and ended on day 84, corresponding to a shift of approximately 3 weeks with respect to P30B2. When comparing TT release profiles to microsphere degradation, a close

correlation between the second release pulse and the final stage of polymer hydrolysis was observed. Clearly, the second TT pulse started when microsphere degradation reached a \bar{M}_w of 2000 to 3000 Da. Although a \bar{M}_w of 1000 Da was considered as complete polymer erosion, TT release was not yet finished at this time point but continued for an additional 4 weeks. For TT, the total amount released and the quantity determined as loading were in close agreement, indicating that the recovery of the extraction method used was in the order of 90-100% for this large protein.

The excellent correlation between the second release pulse and the polymer degradation time for both antigens may indicate the feasibility to design PLA/PLGA-microspheres with well defined pulses at any desired time point, e.g. after 1, 2, 3, 6 months etc., corresponding to the degradation profiles in Fig. 2. However, although the onset and maximum of the second release pulse were well defined, the duration of the pulse extended over 2-4 weeks.

3.4. Immune response

Anti-TT and anti-P30B2 antibody responses were determined for all of the experimental groups at intervals of 2-4 weeks, up to week 45 for TT and week 28 for P30B2. In this contribution, the immunological response is expressed in terms of antibody titers.

For the synthetic and weakly immunogenic P30B2, the microsphere size did not appear to affect the general time course of antibody titers, although a slightly slower increase of the titers was observed with the larger size particles prepared by coacervation (Fig. 7). After 6 weeks, however, the two microsphere preparations showed very similar profiles. A comparison with the profile obtained with the IFA-formulation indicated a kinetic difference in the immune response. With IFA, the antibody level approached the maximum 4-6 weeks after immunization and started to decrease significantly after 4 months. With the microspheres, however, the antibody levels remained elevated over the entire time period studied (28 weeks).

The effect of the polymer type on anti-P30B2 specific antibody levels is presented in Fig. 8. Here again, significant differences between the profiles

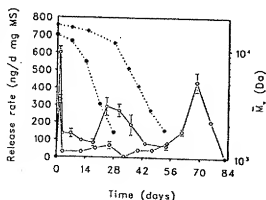


Fig. 6. *In vitro* release rates (in ng antigen per day and per mg microspheres) of TT from spray-dried PLGA50:50-14 kDa (○) and coacervated PLGA75:25-17 kDa (●) microspheres, and change in \bar{M}_w of the corresponding microspheres made of PLGA50:50-14 kDa (●) and of PLGA75:25-17 kDa (◇).

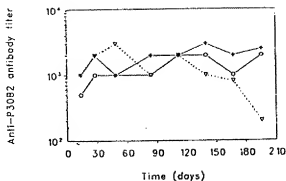


Fig. 7. Time course of anti-P30B2 antibody response in BALB/c mice upon injection of 50 µg P30B2 in different formulations showing the effect of the microencapsulation method and the related particle size: spray-dried (+) and coacervated (O) PLGA50:50-14 kDa microspheres, and reference formulation IFA (V). Individual curves represent the geometric mean of antibody titers obtained from each group by ELISA.

were noticed in the early stage of the immune response, i.e. during the first 3 months. The fast degrading small-sized microspheres (SD-PLGA50:50-14 kDa) gave rise to an immediate and strong antibody response comparable to IFA. By contrast, the slower degrading PLGA75:25 microspheres (SD-PLGA75:25-17 kDa) and, even more, the larger size CO-PLA-130 kDa microspheres produced a more gradual increase in antibody titers with

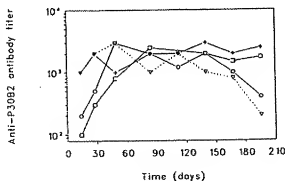


Fig. 8. Time course of anti-P30B2 antibody response in BALB/c mice upon injection of 50 µg P30B2 in different formulations showing the effect of the polymer type and the related release characteristics: spray-dried PLGA50:50-14 kDa (+), spray-dried PLGA75:25-17 kDa (O) and coacervated PLA-130 kDa (□) microspheres, and reference formulation IFA (V). Individual curves represent the geometric mean of antibody titers obtained from each group by ELISA.

the maximum reached only 6 weeks (for SD PLGA75:25-17 kDa) or 12 weeks (for CO-PLA-130 kDa) after immunization. While for the PLGA50:50-14 kDa and the PLA-130 kDa microspheres the antibody levels remained elevated over the entire period studied, they tended to decrease after 12 weeks postimmunization in the case of PLGA75:25-17 kDa, similarly to those measured in the IFA group. Clearly, the expected release pulse from the PLA polymer after approximately 4 months either did not materialize in vivo or had no effect on the antibody titer. For the PLGA50:50-14 kDa and PLGA75:25-17 kDa microspheres, the determined release pulse was possibly too close to the initial burst release to exert an influence on the antibody titers. In mice, no booster effect could be observed with any of the microsphere preparations after single injection, which contrasts the data of the in vitro experiments.

The data of the immune response unambiguously demonstrated the immunostimulating properties of all the microsphere preparations. The observed differences between the various formulations were essentially limited to the kinetics of the early stage antibody response. Larger and slowly degrading microspheres induced antibody titers more slowly than the small-sized and fast degrading microspheres.

In contrast to the synthetic P30B2-formulation the TT microspheres generated more distinct kinetic antibody profiles. Typically, the PLGA75:25-17 kDa microspheres produced a vague anti-TT boost effect between days 120 and 180 (Fig. 9). In the initial stage of the profiles, a significantly fast antibody response was measured in the group of the small-sized microspheres (SD-PLGA75:25-17 kDa). On the other side, the maximum antibody titer at duration of the response did not appear to be influenced by the particle size (SD-PLGA75:25-17 kDa versus CO-PLGA75:25-17 kDa). The microsphere formulations elicited antibody responses comparable to those obtained with the conventional vaccine (TT-alum).

The influence of polymer composition on the antibody response is illustrated in Fig. 10, where large-sized microspheres prepared by coacervation. No difference was observed between the two types of copolymers, the PLGA50:50 and PLGA75:25.

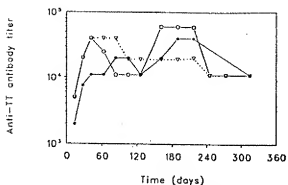


Fig. 9. Time course of anti-TT antibody response in BALB/c mice upon injection of 20 µg TT in different formulations showing the effect of the microencapsulation method and the related particle size: spray-dried (○) and coacervated (●) PLGA75:25-17 kDa microspheres, and reference formulation alum (▽). Individual curves represent the geometric mean of antibody titers obtained from each group by ELISA.

ry slight boosting effect was also noticeable with preparation PLGA50:50-14 kDa, as pointed out ready for the PLGA75:25 microspheres. With the only degrading and releasing PLA microspheres, antibody titers increased much slower and reached the maximum level only after about 220 days. Again, slight booster effect occurred between days 180 and 220.

Generally, all TT microsphere formulations eli-

cited a high antibody response comparable to the one obtained with the TT adsorbed on alum, and antibody levels remained elevated over a long period of time. Significant differences in antibody levels were observed only in the early stage of the immunological response, but not at later times. The differences in antibody titers in the initial phase can be explained by both the two classes of particle sizes used, and by the different *in vitro* antigen release kinetics in combination with polymer degradation. The small-sized microspheres gave generally faster antibody response than the larger microspheres (Fig. 9), and the slower initial TT-release from PLA-130 kDa as compared to PLGA50:50-14 kDa and PLGA75:25-17 kDa is reflected by a slower rise in antibody levels (Fig. 10).

4. Discussion

To rationalize the design of antigen delivery microspheres, this study aimed at a better understanding of the correlation between relevant microsphere characteristics, such as degradation time, particle size and release profile, on the one side, and the time course of the immune response, on the other side. Although an increasing number of studies on antigens microencapsulated into biodegradable microspheres have been published over the past 5 years, only very few have made attempts to investigate the influence of relevant microsphere characteristics on the immunological response [1,30,31].

The possibility of controlling antigen release through the degradation time of polymeric microspheres represents an attractive feature for antigen delivery. As polyester degradation mechanisms and time depend on processing conditions and sample dimensions, data obtained from native polymer powder or particular forms of implants are not necessarily representative for microsphere erosion [24]. Therefore, an *in vitro* degradation study was conducted with a series of PLA- and PLGA-based microspheres prepared by coacervation. The degradation profiles showed a uniform and consistent pattern revealing the importance of polymer hydrophobicity and molecular weight (Fig. 1 and Fig. 2). An increasing number of glycolide units renders the polymer more hydrophilic, resulting in a more

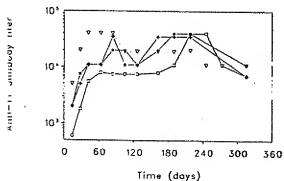


Fig. 10. Time course of anti-TT antibody response in BALB/c mice upon injection of 20 µg TT in different formulations showing the effect of the polymer type and the related release characteristics: coacervated PLGA50:50-14 kDa (+), PLGA75:25-17 kDa (●) and PLA-130 kDa (□) microspheres, and reference formulation alum (▽). Individual curves represent geometric mean of antibody titers obtained from each group by ELISA.

pronounced water uptake [21,27,38]. With increasing hydration, the glass transition temperature, T_g , decreases below the incubation temperature of 37°C, shifting the PLGA chains into a rubbery state and facilitating hydrolytic cleavage [18]. Polyester hydrolysis was reported to occur prior to polymer mass loss, whereby, in general, the change in M_w follows first-order kinetics [25]. Our data suggest that such an exponential decrease is not observed from the very beginning but follows a lag period of several days to several weeks with little change in M_w occurring. For the low and intermediate molecular weight microspheres, this initial phase primarily depends on the type and hydrophilicity of the polymer. A more pronounced change in M_w in the first few weeks was noticed though for the high molecular weight PLA. This finding is consistent with the reported observation of a faster degradation rate in high molecular weight polymers [21]. The different degradation kinetics between PLA-14 kDa and PLA-130 kDa might be explained by the substantial amount of residual solvents present in the PLA-130 kDa (3% DCM and 5% OMCTS), as compared to PLA-14 kDa microspheres (0.2% DCM and 1% OMCTS), contributing to a decrease of T_g and, hence, facilitating water uptake into the partially rubbery polymer bulk [34].

The accelerated degradation rate in the terminal stage of the profiles is in agreement with other reports and has been explained by the presence of increasing amounts of acidic degradation products or of Na^+ ions from the buffer [16,23,28,39]. More specifically, autocatalysis by acidic oligomers accumulated in the polymer bulk due to their reduced diffusion and dissolution characteristics at low pH has been suggested [25]. In our degradation experiments, the medium was regularly replaced by fresh buffer. Nevertheless, in the later stage of degradation, the buffer capacity was not sufficient to stabilize the pH around 7. In a recent study, unambiguous evidence was provided that the pH lowered by degradation products altered the degradation kinetics of microspheres [28]. This fact was illustrated by the large differences in the erosion profiles between particles kept either at constant pH in a dialysis bag or under non-constant pH conditions in buffered saline, becoming increasingly acidic through the accumulation of degradation products.

Knowledge of the polymer degradation time enabled the selection of appropriate polymers for antigen microencapsulation, which were expected to provide release pulses after 1, 2 and 4-6 months. The striking concurrence between the polymer degradation time (Fig. 1 and Fig. 2) and the second antigen release pulse of both antigens studied (Fig. 4-6) is of prime importance for programming potential booster doses from this delivery system. The possible mechanisms responsible for the pulsatile release behavior of peptides and proteins from PLGA microspheres have been discussed by several authors [13,26,27,40]. The three distinct phases of protein release observed have been described as (i) the initial burst release with the diffusion of active compound located near the microsphere surface during polymer hydration, (ii) the latency phase with inhibited diffusion, and (iii) the release pulse due to biodegradation and polymer mass erosion. It is generally assumed that in the latent phase some type of interactions between the negatively charged polymeric carboxyl groups and the protein must retard the active compound. Specifically, an ion exchange mechanism has been suggested [27]. If it is indeed this mechanism which is mainly controlling the release of the actual antigens, the timely difference in the appearance of the second release pulse between TT and P30B2 might be explained by the molecular weight difference. The larger TT (11 kDa) must exhibit, at a given pH, a higher density of ammonium groups able to interact with the polymeric carboxyl groups, as compared to the 16 kDa P30B2. This would, indeed, result in a stronger interaction with the larger TT. The formation of an ionic complex between the carboxyl group of the polymer and the protonated protein is, in general, expected within the approximate pH-range of 3-5.5, close to or below the pI of the protein (e.g. pI TT = 5.1), and above the pK_a of the glycolic or lactic acids. In the case of charge separation on the protein, reaction with the anionic oligomers may also occur at higher pH. With respect to polymer degradation, this may signify that proteins of a small size or of a lower pI will dissolve from the ionic oligomer-protein complex at an earlier stage than larger proteins.

This interpretation of the latency phase observed in this study suggests a pH-microenvironment inside

the polymer particles with a lower pH than that of the release medium. Such an acidic microenvironment has also been suggested by other authors [16,29]. The generation of high amounts of acidic degradation products inside the microspheres or in the incubation medium has caused well founded concerns about the stability of unreleased and released proteins [4,16,28,29]. With the analytical methods used here, no degradation of the two antigens could be detected. This does not mean, however, that alteration of antigenicity or even immunogenicity may be excluded during the release. Thus, a much more complete set of analytical methods would have to be used to detect degradation relevant for the biological activity. Previous studies by our group and by others have focused on TT-stability in aqueous solutions and under freeze-drying conditions [4,41]. Presently, several groups are investigating intensively TT stability within biodegradable microspheres. As TT is not likely to hydrolyze under the actual release conditions, covalent intermolecular bonding or simple physical segregation between TT molecules or between TT and oligomers are also considered.

In addition to the pulsatile antigen release, the particle size is generally expected to influence the immune response. It was shown that small-sized particles, i.e. $<10\ \mu\text{m}$, are very efficiently taken up by macrophages resulting in improved antigen presentation and enhanced adjuvant effect [42,43]. Our data emphasize that the particle size, within the range tested, exerts no effect on the maximum antibody titers nor on the duration of the response. It is observed, however, that small microspheres, e.g. PLGA50:50-14 kDa, elicited 5- to 10-fold higher antibody titers at early time points than those decorated with larger microspheres. Subsequently, antibody titers in the large-sized microsphere groups began to rise so that no significant difference existed between small and larger microspheres after several months (Figs. 9 and 10), which is in agreement with earlier studies [43].

The ability of a single injection of microspheres to provide distinct and timely release pulses of microencapsulated antigen may greatly facilitate future vaccine development. The antibody response obtained with different formulations during the time interval tested reflects, to some extent, the release

properties of the microspheres. However, the release pulses measured *in vitro* induce only a vague booster effect in mice. It is not clear yet if this is a consequence of an inappropriate onset of the release pulses, the extended duration of the pulses, or the loss of immunogenicity of the antigens at later time points. To our knowledge, no unambiguous booster effect after single parenteral administration has been shown so far in similar type of investigations [2,30]. Considering all of the individual microsphere preparations, no essential differences were observed in the maximum antibody responses. In a previous study by our group, we have shown that the use of microsphere mixtures consisting of different types of polymeric microspheres produce substantially stronger immune responses than the individual microsphere types [36]. Besides the very high and sustained antibody titers, T-cell proliferative responses were greatly enhanced in the groups of mice receiving a single injection of a microsphere mixture. Clearly, 45 weeks after immunization, the proliferative response of the microsphere mixture group and the group having received three injections of alum adsorbed TT (at weeks 0, 5 and 16) was comparable. Although the microsphere mixtures elicited greatly enhanced and more prolonged immune responses, there was no indication of a booster effect. This might indicate that the overlapping of the release pulses from the different individual microspheres types may not only enhance the maximum response, but may hide simultaneously any booster effects.

5. Conclusions

With the two antigens studied, the natural tetanus toxoid (TT) and a weakly immunogenic malaria peptide (P30B2), a clear correlation between the *in vitro* polymer degradation and the *in vitro* antigen release profile was found. Antigen release follows a pulsatile pattern with a first pulse representing the so-called burst effect and a second pulse determined by the polymer degradation time. The antigen-containing microspheres exerted an important immunostimulating effect in terms of antibody response. Antibody titers remained elevated over the entire time period studied, i.e. up to 45 weeks, and were comparable to the P30B2 in IFA and TT on alum.

However, the pulsatile *in vitro* release profile generated only a slight boosting effect *in vivo*. Nonetheless, the time course in antibody titers could be explained, at least partly, by the particle size and the release behaviour. A slower increase in antibody titers was observed with the microspheres of larger size or exhibiting a slower release. Immediate and highest antibody levels were elicited with the smallest-sized fast degrading microspheres.

Acknowledgments

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